

INFORMATION DISCLOSURE TRANSMITTAL		Docket No. C0011/7006
Applicant:	John Deaver, Skott C. Klebe and Woodrow W. Johnson	
Serial No:	10/615,278	
Filed:	July 8, 2003	
For:	METHOD AND APPARATUS FOR SECURE KEY DELIVERY FOR DECRYPTING BULK DIGITAL CONTENT FILES AT AN UNSECURE SITE	
Examiner:	A. Y. Shan	
Art Unit:	2135	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to bring information to the attention of the Examiner. The filing of this statement shall not be construed as a representation that a search has been made or as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Enclosures

- ☒ A form PTO-1449 listing this information is attached
- ☒ Copies of documents cited, other than U.S. patents and published U.S. patent applications, are attached.
- ☐ Copies of the cited documents are not enclosed because .

Fees

- ☒ This statement is filed before the later of: (1) three months of (i) the filing of a national application or (ii) the entry date for the national stage of an international application; (2) the mailing date of a first office action on the merits; or (3) the mailing date of a first office action on the merits following the filing of a Request for Continued Examination. No fee is due.
- ☐ This statement is filed before the mailing date of a final office action, a notice of allowance or an action that otherwise closes prosecution, *and*
- ☐ The submission fee of \$180.00 under 37 CFR §1.17(p) is enclosed, *or*
- ☐ The following certification is made:
- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, *or*
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.
- ☐ This statement is filed on or before payment of the issue fee, the submission fee of

\$180.00 under 37 CFR §1.17(p) is enclosed, *and*

- ☐ each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Payment

- ☐ The total fee is being paid by an electronic funds transfer.
- ☐ A check in the amount of the submission fee is enclosed.
- ☐ Charge Account No. 50-3969 in the amount of the submission fee. A duplicate of this transmittal sheet is attached.

Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §1.16 and §1.17 required by the attached paper and during the entire pendency of this application to Account No. 50-3969.

Respectfully submitted,

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Date: 2007-07-17

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT Sheet 1 of 1**

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U.S. PATENT DOCUMENTS

Exam Inits	Cite No.	Document Number	Kind Code	Patentee or Applicant Name	Publication Date
		2002/0013772	A1	Peinado	1/2002
		2002/0073033	A1	Sherr, et al.	6/2002
		2002/0002541	A1	Williams	1/2002
		7,073,199	B1	Raley	7/2006
		7,035,826	B1	Hamada, et al.	4/2006
		7,017,188	B1	Schmeidler, et al.	3/2006
		6,807,632	B1	Chapentier, et al.	10/2004
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		6,449,721	B1	Pensak, et al	9/2002
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		7,062,045	B1	Riddick, et al.	6/2006
		7,103,747	B1	Peinado, et al.	9/2006
		5,541,993	B1	Fan, et al.	7/1996
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		10/621,705		Klebe, et al.	7/17/2003

FOREIGN PATENT DOCUMENTS

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
		WO	01/41018	A2	IBOOKS.COM	6/7/2001	<input type="checkbox"/>
		WO	01/09703	A1	Harris Interactive, Inc.	2/8/2001	<input type="checkbox"/>
		EP	1 372 055	A2	Microsoft Corporation	12/17/2003	<input type="checkbox"/>
							<input type="checkbox"/>

OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		VON FABER, et al., "The Secure Distribution of Digital Contents", debis IT Security Services, pages 16-22, Bonn, Germany	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner
Signature

Date
Considered